

Chris Swecker, Esq.

Assistant Director, FBI, (Retired) 1982-2006

Global Security Director, Bank of America, 2006-2009

Attorney/Fraud and Security Consultant 2006-present

704-277-1024

chris.swecker@gmail.com

Chris Swecker has over 39 years of experience in criminal prosecutions, law enforcement, national security, legal, and corporate security/risk management positions. He is a subject matter expert in cyber/physical security, anti-money laundering, criminal investigations, financial crimes, counterterrorism/terror financing and operational risk management. He currently manages a solo law practice and is CEO of a respected corporate security consulting company, Chris Swecker Enterprises.

FBI Special Agent and Senior Executive

Swecker served 24 years with the Federal Bureau of Investigation (FBI) before retiring as Assistant Director of the FBI's Criminal Investigative Division (CID). In this position he was the top FBI Executive over all FBI Criminal Investigations. In 2006 he was promoted to Acting Executive Assistant Director where he was responsible for eight FBI divisions including Cyber and Criminal Investigations, International Operations, The FBI Academy, Crisis Management/Hostage Rescue Team and Crisis Operations, Operational Technology, The FBI Forensic Lab and Criminal Justice Information Systems. This leadership scope was more than half of the FBI's total resources.

In 2003 Swecker served as the FBI's On Scene Commander in Iraq. He led a team of FBI Agents conducting counter-intelligence, terror financing/international terrorism investigations including dozens of suicide bombing attacks on the UN headquarters and other targets occupied by US civilians. Swecker's team also created a task force that studied IEDs, assisted military components in tactical counter insurgency/terrorist operations. He acted as an advisor to the Coalition Provisional Authority (CPA), civilian and military leaders on terrorism, terror financing, security, intelligence and forensic explosives investigations.

As head of the FBI's CID Swecker led all FBI criminal investigations including public corruption, corporate fraud, electronic crimes, money laundering, organized crime/drug trafficking and financial crime matters. He led national task forces on corporate fraud, cyber crimes, violent gangs, mortgage fraud, crimes against children, public corruption and organized crime. He established the MS-13 National Gang Task Force, the National Gang Intelligence Center and Regional Child Abduction Rapid Response Teams.

From 1999 to 2005 Swecker served as Special Agent in Charge of North Carolina Operations where he presided over two of the most significant terrorism cases in the country, one involving the capture of the Atlanta Olympic bomber and another that dismantled a Hezbollah terrorist financing cell utilizing the RICO statute for the first time in a terrorism prosecution. As the nation's second largest banking center the Charlotte office handles some of the most sophisticated financial crime cases in the FBI involving Ponzi, investment and mortgage frauds. He established the FBI's first Cyber Crimes Investigations team in NC as well as the first Critical Infrastructure Protection/industry outreach program to protect NC companies from cyber breaches. Prior to 1999 he held posts as Organized Crime Special Agent, Supervisory Special Agent and Assistant Special Agent in Charge in Oklahoma City and the fast paced field offices in Miami and Houston.

Chris Swecker, Esq.

Assistant Director, FBI, (Retired) 1982-2006

Global Security Director, Bank of America, 2006-2009

Attorney/Fraud and Security Consultant 2006-present

704-277-1024

chris.swecker@gmail.com

Security and Risk Management, Bank of America

As Corporate Security Director for Bank of America from July 2006 to January 2009 Swecker led investigations; physical security; international security, employment screening, enhanced due diligence for significant bank clients and executive protection. He managed over 600 proprietary associates and over 3800 contract security guards with an annual budget of over \$250 million. Swecker spearheaded the bank's efforts to integrate multiple bank components engaged in fraud detection and investigations, anti-money laundering and IT/data security. As a result fraud losses across all fraud categories were reduced by over \$50 million, armed bank robberies were reduced by over 50% and ATM thefts were virtually eliminated. Swecker created a world class advanced Security Operations Analysis and Command Center (SOAC) to support crisis response and security operations worldwide. The SOAC managed the largest alarm, and digital video surveillance system in the financial sector with responsibility for 6100 bank branches, 18,000 ATMs, 450 administrative/operational facilities as well as access control for over 300,000 employees. He led the bank's crisis and business continuity response for security, workplace violence or terrorism events. Swecker was a member of BOA's Management Operating Committee consisting of the top tier senior BOA executives.

Legal Background and Experience

Swecker served in the Civil Litigation Unit at FBI headquarters in Washington from 1985-1987 and as an Assistant District Attorney from 1981-1982 in the First District of NC. He has a solo law practice in Charlotte, NC and has served as Independent Special Counsel for the North Carolina Attorney General's Office. Swecker has conducted independent reviews of the North Carolina FBI Laboratory, all homicide investigations for a three-year period and the NC Highway Patrol. He also worked with the Duke Innocence project to help free a wrongfully convicted individual who had served over 15 years.

Board Memberships

Swecker has served on the Board of Directors of The North Carolina Technology Association (NCTA) and Allied Barton Security Services, the largest security company in the USA. He is recent past Chairman of the NC Governor's Crime Commission and served on the NC Attorney General's Task force on Campus Violence, The Governor's Blue Ribbon Commission to review the NC Highway Patrol and The Mecklenburg County Criminal Justice Task Force.

Subject Matter Expert

Swecker has testified before US Congress on topics such as cyber crimes, identity theft, crimes against children, mortgage fraud, human trafficking, financial crimes, information privacy, terrorism, data compromise, crimes on the Internet, drug trafficking on the southwest border and gangs. He appeared on 60 Minutes, CBS This Morning, Oprah Winfrey, GMA, Piers Morgan, CSPAN Washington Journal and North Carolina People. He is a frequent CNN, Fox and CNBC commentator, public speaker and author on

Chris Swecker, Esq.

Assistant Director, FBI, (Retired) 1982-2006

Global Security Director, Bank of America, 2006-2009

Attorney/Fraud and Security Consultant 2006-present

704-277-1024

chris.swecker@gmail.com

financial crimes, Ponzi/investment schemes, money laundering, physical/cyber security and electronic crimes.

Law Practice and CEO

Swecker currently manages his own law practice focusing on financial crimes, security issues/compliance, securities law and Director and Officer liability. He also founded a successful security, risk and financial crimes consulting firm, Chris Swecker Enterprises, which has serviced such clients as, Verafin; Early Warning Services; NICE Systems; TD Bank; WellPoint/Anthem; Chicago Bridge and Iron Co (CB&I); Air B&B; The NC Department of Justice; Novozymes NA; SAS Institute; Applied Research Associates; Bank of America, and the IRS.

Education, Awards and Professional Memberships

Swecker received the Prestigious Presidential Rank Award in 2003 for service in Iraq. The NCTA's Special Service Award for protecting the state's infrastructure from terror and cyber attacks. Swecker is a 1978 graduate of Appalachian State University (ASU) and was ASU's Distinguished Alumni in 2003. He Graduated from Wake Forest University School of Law receiving his Juris Doctor in 1981. He is a member of the NC and Virginia State Bars, ASIS and the International Association of Chiefs of Police.

Community Activities

Swecker actively coaches two Cal Ripken baseball teams and serves as Commissioner of the Matthews Athletic Association baseball AAA and AA divisions. Swecker has served on the Board of Directors of the Charlotte Mecklenburg Police Foundation and is a member of the Board of Visitors of Appalachian State University.